eRISE 2013

Engineering RIsks and SEcurity Requirements

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May 13 2013

UNIVERSITY
OF TRENTO - Italy

EIT ICT Labs
Knowledge & Innovation Community
trentorise
Open Innovation rooted in Research and Education

ORGANIZING TEAM

❑ Organizers:

- Federica Paci → paci@disi.unitn.it
- Fabio Massacci → fabio.massacci@unitn.it

❑ Observers:

- Tong Li
- Katsiaryna Labunets
- Martina Degramatica
- Mattia Salnitri

THE CONCEPT OF ERISE

❑ Professional use "established" methods

✓ Invented maybe 10-15 years ago, they were proven to work but by keeping using them we might lose opportunities

❑ Researchers "invent" new methods

✓ Design new methods to address emerging problems but they don't really know if they really work

❑ eRISE let research "meet" with practice

✓ Students & practitioners are exposed to research methods

✓ Researchers understand if their methods work in practice or not

WHY DO WE NEED YOU?

❑ So what we need is your help as participants

✓ Provide feedback as the method “work” or “doesn’t work”

❑ Thanks to your feedback we will be able to tell:

✓ *“it is not a method to find security recommendations..it helps us to represent the model but does not help in finding solution,” or*

✓ *“it helps to find out specific security requirement”*

❑ We can speed up the road to innovation

HONEST FEEDBACK IS IMPORTANT

❑ Feedback by Participants

- ✓ “Need support or direction to know which security requirement is right. No automated way to give direction to the analysis”
- ✓ “No guidelines to estimate which part of the goal model is to be evaluated further.”

❑ Insights for Designers

- ✓ “I understood that we need a checklist, people need to do tick, tick, tick so they understand at what stage they are”
- ✓ “Without a guideline people don’t know when to stop the analysis”

THE IDEA OF THE PROGRAMME

❑ Training

- ✓ Lectures from Industry Experts
- ✓ Method Designers teach you the methods
- ✓ Industry Experts present two case studies

❑ Application

- ✓ Teams from Paris and Trento analyze case studies by using the research method assigned to them

❑ Evaluation

- ✓ Participants evaluate methods
- ✓ Method designers and Industry experts evaluate teams

TRAINING

- Attend Lecture By Industrial Experts
- Attend Tutorials on the Methods by Designers
- Attend Tutorials on the Case Studies by Customers

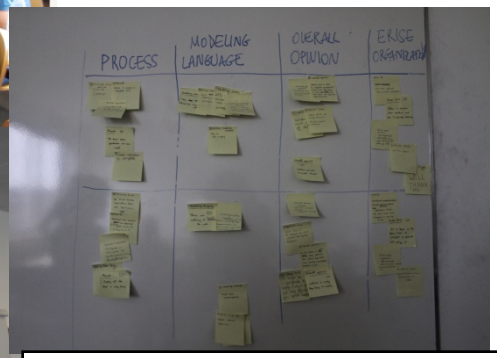
APPLICATION

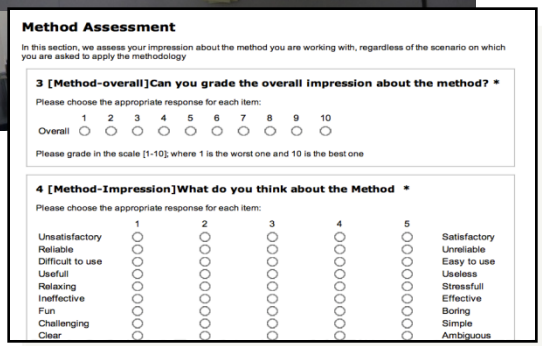
- ❑ We divide you in groups (1 master student + 1 professional)
- ❑ We assign your group to a method and an industrial case study
- ❑ You and your group mates apply the method to
  - ✓ Analyze threats of the case study
  - ✓ identify security/privacy requirements that mitigate the threats
- ❑ We audio-video record
- ❑ An observer will “watch you”

EVALUATION

❑ You Evaluate the Methods

- ✓ Focus group interviews
  - We ask you questions about what works and what doesn't
- ✓ Post –it notes sessions
  - You fill post-it notes with methods advantages and disadvantages
  - We ask you to order them by importance
- ✓ Post-Task Questionnaires
  - We ask you questions about usefulness, usability and intention to use the methods





Method Assessment

In this section, we assess your impression about the method you are working with, regardless of the scenario on which you are asked to apply the methodology

3 [Method-overall]Can you grade the overall impression about the method? *

Please choose the appropriate response for each item:

	1	2	3	4	5	6	7	8	9	10
Overall	○	○	○	○	○	○	○	○	○	○

Please grade in the scale [1-10]; where 1 is the worst one and 10 is the best one

4 [Method-Impression]What do you think about the Method *

Please choose the appropriate response for each item:

	1	2	3	4	5	
Unsatisfactory	○	○	○	○	○	Satisfactory
Reliable	○	○	○	○	○	Unreliable
Difficult to use	○	○	○	○	○	Easy to use
Usefull	○	○	○	○	○	Useless
Relaxing	○	○	○	○	○	Stressfull
Ineffective	○	○	○	○	○	Effective
Fun	○	○	○	○	○	Boring
Challenging	○	○	○	○	○	Simple
Clear	○	○	○	○	○	Ambiguous

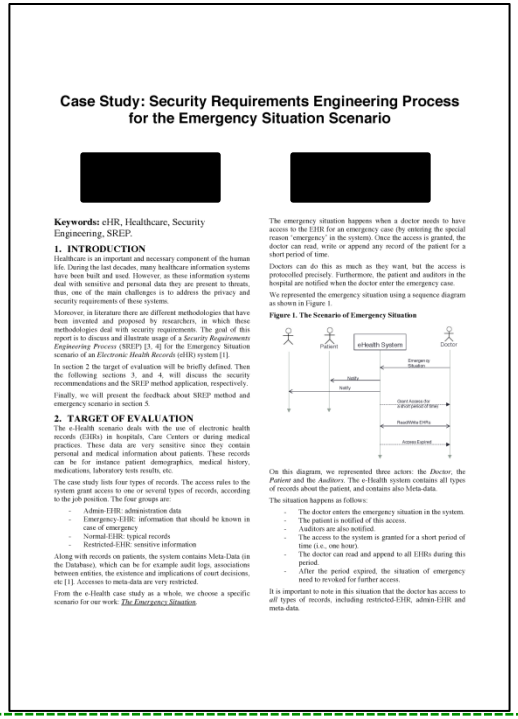
HOW YOU WILL BE EVALUATED? (1)

❑ We Evaluate your "Final Products"

- ✓ **Presentation at end of the week in Trento**
  - Initial Threats identified with the method

- ✓ **Presentation at end of Paris' days**
  - Summary of threats and security/privacy requirements identified with the method

- ✓ **Final 6 pages report**
  - Summarize Method Application and Results



Case Study: Security Requirements Engineering Process for the Emergency Situation Scenario

[redacted] [redacted]

Keywords: eHR, Healthcare, Security Engineering, SREP.

1. INTRODUCTION

Healthcare is an important and necessary component of the human life. During the last decades, many healthcare information systems have been built and used. However, as these information systems deal with sensitive and personal data they are present to threats, thus, one of the main challenges is to address the privacy and security requirements of these systems.

Moreover, in literature there are different methodologies that have been invented and proposed by researchers, in which these methodologies deal with security requirements. The goal of this report is to discuss and illustrate usage of a *Security Requirements Engineering Process* (SREP) [3, 4] for the Emergency Situation scenario of an *Electronic Health Records* (eHR) system [1].

In section 2 the target of evaluation will be briefly defined. Then the following sections 3, and 4, will discuss the security recommendations and the SREP method application, respectively.

Finally, we will present the feedback about SREP method and emergency scenario in section 5.

2. TARGET OF EVALUATION

The e-Health scenario deals with the use of electronic health records (EHRs) in hospitals, Care Centers or during medical practices. These data are very sensitive since they contain personal and medical information about patients. These records can be for instance patient demographics, medical history, medications, laboratory tests results, etc.

The case study lists four types of records. The access rules to the system grant access to one or several types of records, according to the job position. The four groups are:

- Admin-EHR: administration data
- Emergency-EHR: information that should be known in case of emergency
- Normal-EHR: typical records
- Restricted-EHR: sensitive information

Along with records on patients, the system contains Meta-Data (in the Database), which can be for example audit logs, associations between entities, the existence and implications of court decisions, etc [1]. Accesses to meta-data are very restricted.

From the e-Health case study as a whole, we choose a specific scenario for our work: *The Emergency Situation*.

The emergency situation happens when a doctor needs to have access to the EHR for an emergency case (by entering the special reason 'emergency' in the system). Once the access is granted, the doctor can read, write or append any record of the patient for a short period of time.

Doctors can do this as much as they want, but the access is protocolled precisely. Furthermore, the patient and auditors in the hospital are notified when the doctor enter the emergency case.

We represented the emergency situation using a sequence diagram as shown in Figure 1.

Figure 1. The Scenario of Emergency Situation

On this diagram, we represented three actors: the *Doctor*, the *Patient* and the *Auditors*. The e-Health system contains all types of records about the patient, and contains also Meta-data.

The situation happens as follows:

- The doctor enters the emergency situation in the system.
- The patient is notified of this access.
- Auditors are also notified.
- The access to the system is granted for a short period of time (i.e., one hour)
- The doctor can read and append to all EHRs during this period.
- After the period expired, the situation of emergency need to revoked for further access.

It is important to note in this situation that the doctor has access to *all* types of records, including restricted-EHR, admin-EHR and meta-data.

HOW WILL YOU BE EVALUATED? (2)

❑ Target of Evaluation:

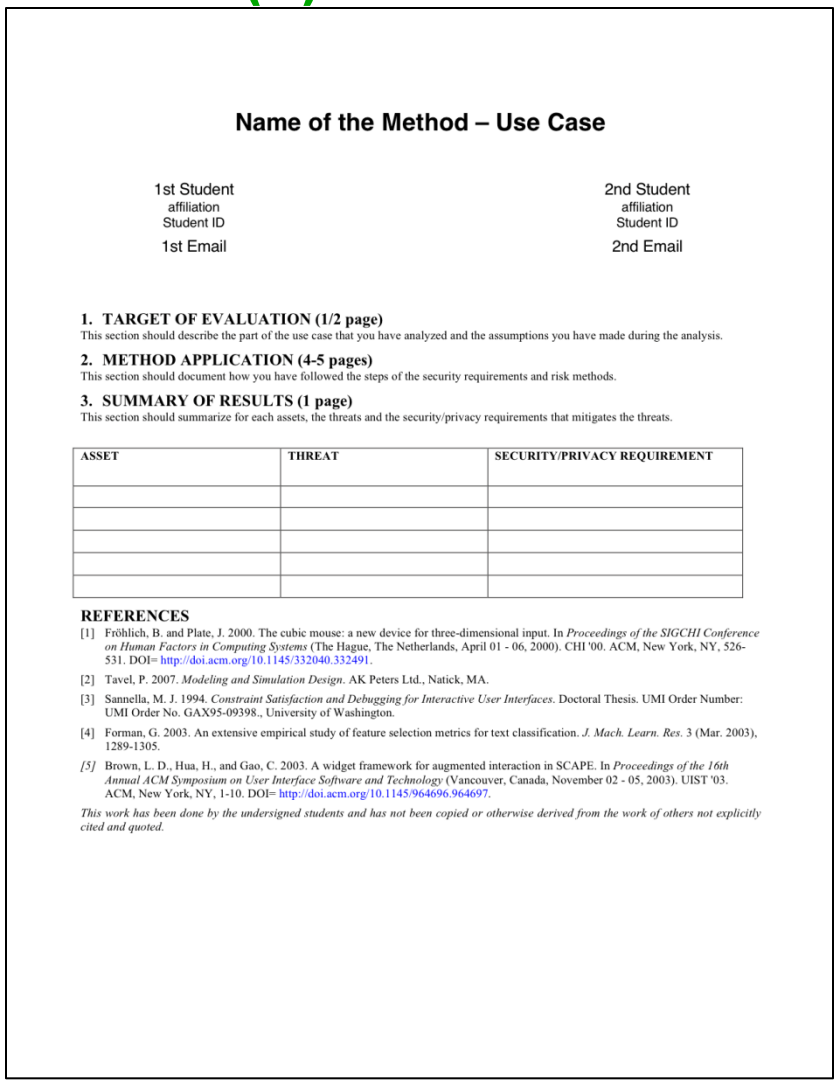
- ✓ Part of the case study analyzed with the method (1/2 page)

❑ Method Applications:

- ✓ Steps, Models, Diagrams, Tables etc (4 pages)

❑ Results:

- ✓ Threats and security/privacy requirements identified with the method (1 page)



Name of the Method – Use Case

1st Student
affiliation
Student ID
1st Email

2nd Student
affiliation
Student ID
2nd Email

1. TARGET OF EVALUATION (1/2 page)
This section should describe the part of the use case that you have analyzed and the assumptions you have made during the analysis.

2. METHOD APPLICATION (4-5 pages)
This section should document how you have followed the steps of the security requirements and risk methods.

3. SUMMARY OF RESULTS (1 page)
This section should summarize for each assets, the threats and the security/privacy requirements that mitigates the threats.

ASSET	THREAT	SECURITY/PRIVACY REQUIREMENT

REFERENCES

[1] Fröhlich, B. and Plate, J. 2000. The cubic mouse: a new device for three-dimensional input. In *Proceedings of the SIGCHI Conference on Human Factors in Computing Systems* (The Hague, The Netherlands, April 01 - 06, 2000). CHI '00. ACM, New York, NY, 526-531. DOI= http://doi.acm.org/10.1145/332040.332491.

[2] Tavel, P. 2007. *Modeling and Simulation Design*. AK Peters Ltd., Natick, MA.

[3] Sannella, M. J. 1994. *Constraint Satisfaction and Debugging for Interactive User Interfaces*. Doctoral Thesis. UMI Order Number: UMI Order No. GAX95-09398., University of Washington.

[4] Forman, G. 2003. An extensive empirical study of feature selection metrics for text classification. *J. Mach. Learn. Res.* 3 (Mar. 2003), 1289-1305.

[5] Brown, L. D., Hua, H., and Gao, C. 2003. A widget framework for augmented interaction in SCAPE. In *Proceedings of the 16th Annual ACM Symposium on User Interface Software and Technology* (Vancouver, Canada, November 02 - 05, 2003). UIST '03. ACM, New York, NY, 1-10. DOI= http://doi.acm.org/10.1145/964696.964697.

This work has been done by the undersigned students and has not been copied or otherwise derived from the work of others not explicitly cited and quoted.

HOW WILL YOU BE EVALUATED? (3)

- ❑ **5 points for presentation in Trento**
- ❑ **5 points for presentation in Paris**
- ❑ **10 points for the final reports**
  - ✓ 5 points assigned by Method Designers
    - They evaluate if you have applied the method correctly
  - ✓ 5 points assigned by Customers
    - They evaluate the quality of the threats and security/privacy requirements

ERISE 2013 AWARD

- Best group will be awarded a plate

- Each member of the best group will receive an iPod Nano 8GB

ERISE 2013 ORGANIZATION

❑ Training Phase

✓ **May 13-15 2013** at the University of Trento, Italy

❑ Application Phases

✓ **May 16-17 2013** at the University of Trento, Italy

✓ **June 13-14 2013** at Dauphine University, Paris, France

❑ Evaluation Phase

✓ **June 14 2013** Focus Groups and Post-it notes sessions with participants, at Dauphine University, Paris, France

✓ **June 30 2013** Delivery of Group Final Reports

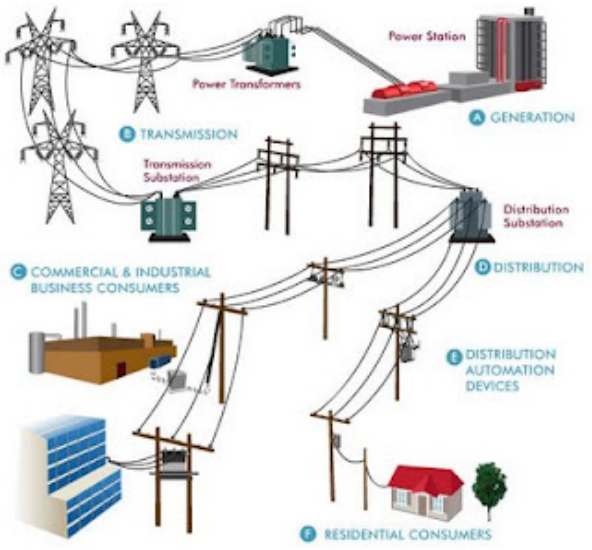
✓ **June 30-July 15 2013** Reports Assessment by method designers and customers

EVALUATED METHODS

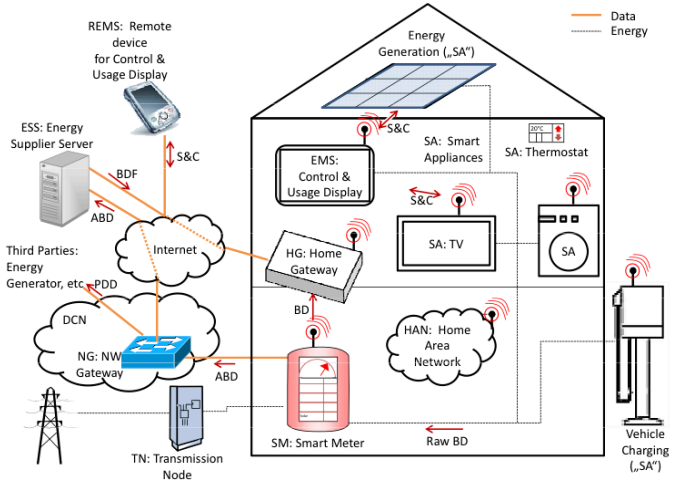
- **CORAS:** Model- Driven Risk Analysis method by SINTEF
- **LINDDUN:** Method for Privacy Threats Analysis and Privacy Requirements Elicitation by KUL
- **MPRAM:** Multilateral Privacy Requirements Analysis by KUL
- **SREP:** Risk and Asset Driven Method for Security Requirements Elicitation by UCLM

SMART GRID – CASE STUDIES

- **Electricity Transmission Network (National Grid,UK)**



- **Smart Metering Scenario (Siemens, DE)**



WEEK IN TRENTO – MAY 13TH

- 9.00-9.30 Welcome to eRISE 2013. F. Massacci, C. Rosenthal Sabroux
- 9.30-11.00 eRISE 2013 Organization and Group Assignment. Dr. F.Paci
- 11.00-12.00 Business & ICT resilience: reference standards, best practices and real-life approaches for monitoring and control: A. Carone, KPMG (IT)
- 12.00-14.0 Lunch
- 14.00-15.00 Security Services in-house vs managed security - Informatica Trentina approach. P. Sartori –CISSP – Informatica Trentina
- 15.00-16.00 Group Activity

WEEK IN TRENTO – MAY 14TH

- 9.00-10.00 CASE STUDY: Electricity Transmission Network. Dr. R. Ruprai, NATIONAL GRID (UK)
- 10.00-11.00 CASE STUDY: A Smart Metering Scenario J. Stijohann – SIEMENS (DE)
- 11.00-12.00 Threat Analysis. Dr. R. Ruprai , NATIONAL GRID (UK)
- 12.00 – 14.00 Lunch
- 14.00-17.00 Tutorials in parallel
  - ✓ **LINDDUN**, K. Wuyts, R. Scandariato. Katholieke Univ. Leuven (BE)
  - ✓ **MPRAM.** Dr. S. Guerses, Katholieke Univ. Leuven (BE)

WEEK IN TRENTO – MAY 15TH

- 9.00-10.00 CASE STUDY: Threat Analysis. S. R. Suppan, SIEMENS (DE)
- 10.00-13.00 Tutorials in Parallel
  - ✓ **CORAS**. M. S. Tran, Univ. of Trento (IT)/SINTEF(NO)
  - ✓ **SREP**. D. Garcia Rosado, Univ. Castilla La Mancha (ES)
- Afternoon is free for participants

WEEK IN TRENTO – MAY 16TH

- 9.00-9.30 Groups distribution to Rooms
- 9.30-12.00 Teamwork on threats and security and privacy requirements – Method Application
- 12.00-14.00 Lunch
- 14.00-18.00 Teamwork on threats and security and privacy requirements – Method Application

WEEK IN TRENTO – MAY 17TH

- 9.00-12.00 Teamwork on risks and security and privacy requirements for each method – Presentation Preparation
- 12.00-14.00 Lunch
- 14.00-17.00 Group presentations (10 min each)
- 17.00-17.30 End of the first phase of the challenge

ERISE 2013 - SECOND PHASE

TWO DAYS IN PARIS – JUNE 13TH

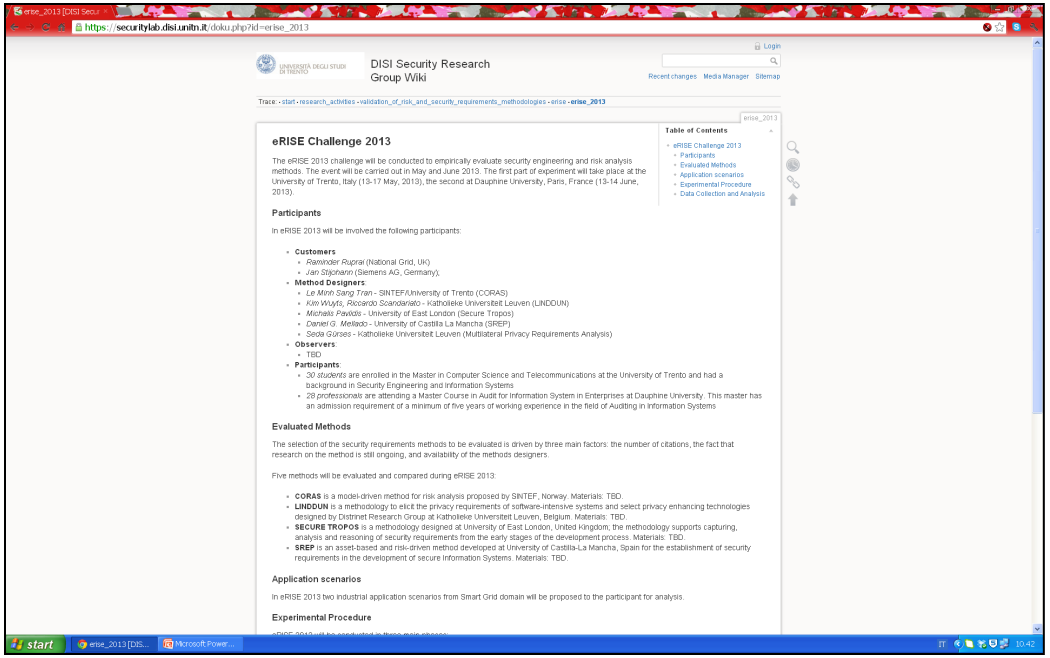
- **9.00-9.30** Objectives and expected outcomes
- **9.30-12.00** Teamwork on risks and security and privacy requirements for each method
- **12.00-13.00** Lunch
- **13.00-17.00** Teamwork on risks and security and privacy requirements for each method - Presentation Preparation

TWO DAYS IN PARIS – JUNE 14TH

- **9.00-10.30** Post-it notes sessions
- **10.30-11.00 Coffee Break**
- **11.00-13.00** Focus Group Interviews
- **13.00 -14.00** Lunch
- **14.00 -17.00** Group presentations (10 min each)
- **17.00-17.30** End of the challenge

WHERE CAN YOU FIND INFORMATION ABOUT ERISE?

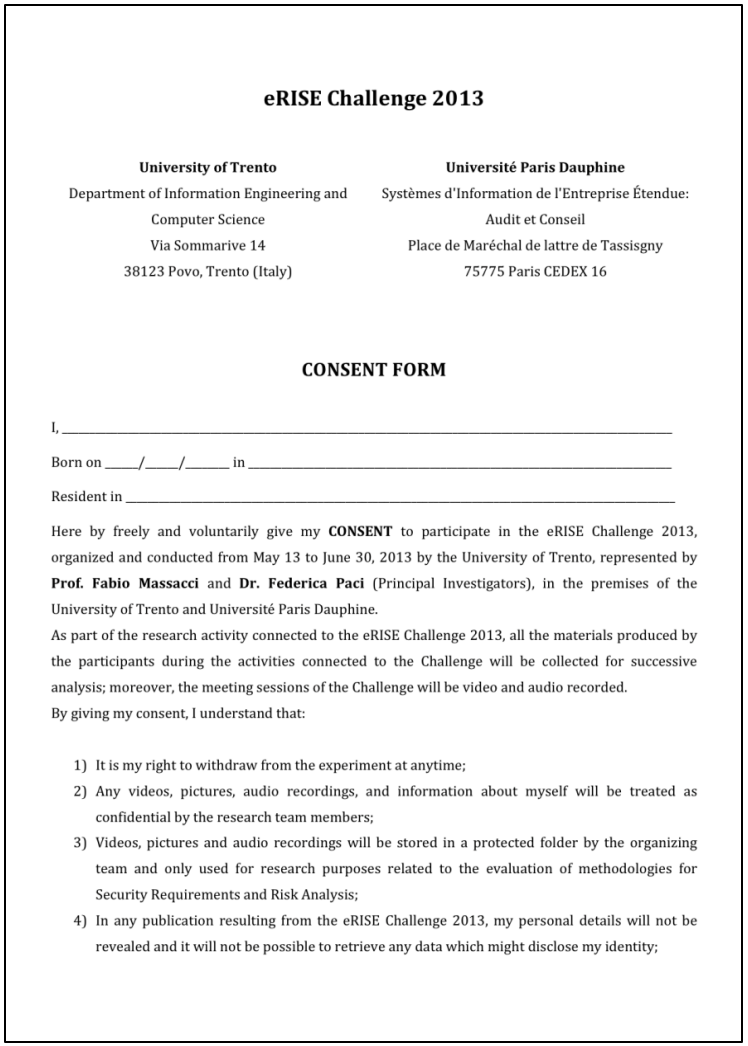
- https://securitylab.disi.unitn.it/doku.php?id=erise_2013
- You can find:
  - ✓ Agendas
  - ✓ Training Material
    - Case Study Descriptions
    - Tutorials on Methods
  - ✓ Final Report Template
  - ✓ Contact Information
    - Organizers
    - Method Designers
    - Customers



WHICH ARE YOUR RIGHTS AS PARTICIPANTS?

- ❑ **We only collect data for analysis purposes NOT TO EVALUATE YOU**
- ✓ Questionnaires
- ✓ Audio-video recordings of application phase
- ✓ Audio recordings of focus group interviews
- ✓ Post-it notes
- ✓ Pictures of your artefacts
- ✓ Your presentations
- ✓ Group final reports

- ❑ **We WON'T DISCLOSE any personal information about you**
- ❑ **Data are ANONYMIZED e.g we just use your initials**
- ❑ **Data will be STORED in a PROTECTED FOLDER**
- ❑ **Recordings will NOT BE SHOWN TO ANYONE, just tagged for analysis**



eRISE Challenge 2013

University of Trento
Department of Information Engineering and Computer Science
Via Sommarive 14
38123 Povo, Trento (Italy)

Université Paris Dauphine
Systèmes d'Information de l'Entreprise Étendue: Audit et Conseil
Place de Maréchal de lattre de Tassisgny
75775 Paris CEDEX 16

CONSENT FORM

I, _____

Born on ____/____/_____ in _____

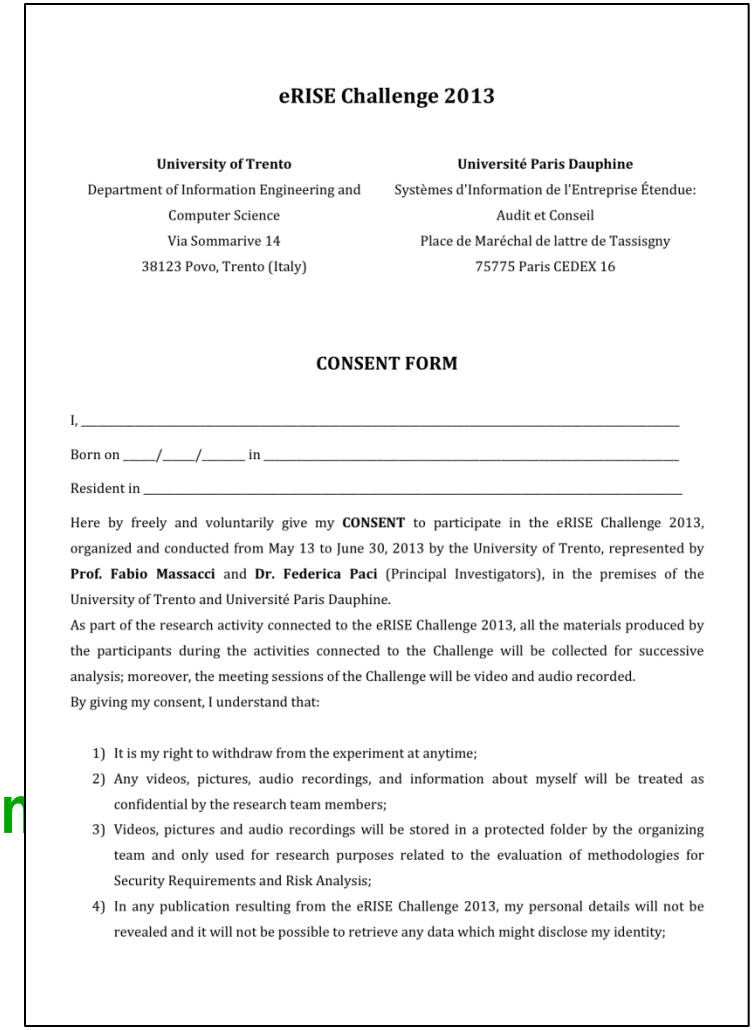
Resident in _____

Here by freely and voluntarily give my **CONSENT** to participate in the eRISE Challenge 2013, organized and conducted from May 13 to June 30, 2013 by the University of Trento, represented by **Prof. Fabio Massacci** and **Dr. Federica Paci** (Principal Investigators), in the premises of the University of Trento and Université Paris Dauphine.
As part of the research activity connected to the eRISE Challenge 2013, all the materials produced by the participants during the activities connected to the Challenge will be collected for successive analysis; moreover, the meeting sessions of the Challenge will be video and audio recorded.
By giving my consent, I understand that:

1) It is my right to withdraw from the experiment at anytime;
2) Any videos, pictures, audio recordings, and information about myself will be treated as confidential by the research team members;
3) Videos, pictures and audio recordings will be stored in a protected folder by the organizing team and only used for research purposes related to the evaluation of methodologies for Security Requirements and Risk Analysis;
4) In any publication resulting from the eRISE Challenge 2013, my personal details will not be revealed and it will not be possible to retrieve any data which might disclose my identity;

WHICH ARE YOUR RIGHTS AS PARTICIPANTS?

- ❑ You can withdraw from the experiment anytime
- ❑ Feel "too" observed?
- ✓ Just say so and we can stop recording
- ❑ Think your drawings are too bad?
- ✓ No need to show them. Just say so.
- ❑ Some feedback will be lost in this way, but so be it...
- ❑ The consent form tells your rights in complicated legal terms



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WHAT DO PARTICIPANTS SAY ABOUT ERISE?

Any Question?